

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tomohiro Otani et al
Application No. : N/A
Filed : December 29, 2003
Title : OPTICAL RECEIVER AND OPTICAL ADD/DROP APPARATUS

Grp./Div. : N/A
Examiner : N/A

Docket No. : 51721/DBP/T360

**INFORMATION DISCLOSURE STATEMENT
FOR A CONTINUING NON-CPA APPLICATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PostOffice Box 7068
Pasadena, CA 91109-7068
December 29, 2003

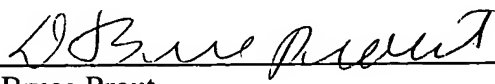
Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B. The references listed thereon are not enclosed because they were of record in a parent application, Application No. 09/607,186, filed June 29, 2000.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/djp
Enclosure
DJP PAS543184.1-* -12/29/03 3:26 PM

Express Mail No. EV35123773845

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51721/DBP/T360
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	N/A
	Filing Date	December 29, 2003
	Applicant(s)	Tomohiro Otani et al
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	<u>DOCUMENT NUMBER</u> Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		5,959,764	09-28-1999	Edagawa et al
		6,532,091	03-11-2003	Miyazaki et al
		6,335,819	01-01-2002	Cho et al

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	<u>FOREIGN PATENT DOCUMENT</u> Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		JP 10-78595	03-24-1998		U.S. counterpart 5,959,764 above

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		N. Edagawa et al, "Novel Wavelength Converter Using an Electroabsorption Modulator: Conversion Experiments at Up to 40 Gbit/s", OFC '97 Technical Digest, pp. 77-78

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.	